18 NCAC 06A .1508 FAILURE TO FURNISH INFORMATION

Failure to furnish requested information or evidence of compliance and/or the failure of any issuer, dealer, salesman, or interested person to comply with any rule or order:

(1) May result in an application for registration being denied; or

(2) If a registration has been approved, an order of revocation may lie requiring a rescission offer to be made to all purchasers.

History Note: Authority G.S. 78A-29(b)(2); 78A-49(a);

Eff. April 1, 1981;

Amended Eff. January 1, 1984;

Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December 6, 2016.